

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 1 of 4  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3773

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____1	438,400	10/14/1890	Brennen
____2	2,583,625	1/29/1952	Bergan
____3	3,120,230	2/4/1964	Skold
____4	3,113,379	12/10/1963	Frank
____5	3,523,351	8/11/1970	Filia
____6	3,828,791	8/13/1974	Santos
____7	4,201,215	5/6/1980	Crossett et al.
____8	4,327,485	5/4/1982	Rix
____9	4,440,170	4/3/1984	Golden et al.
____10	4,635,634	1/13/1987	Santos
____11	4,759,364	7/26/1988	Boebel
____12	5,032,127	7/16/1991	Frazee et al.

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet 2 of 4  
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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
____13	5,078,731	1/7/1992	Hayhurst
____14	5,116,349	5/26/1992	Aranyi
____15	5,171,250	12/15/1992	Yoon
____16	5,192,288	3/9/1993	Thompson et al.
____17	5,269,792	12/14/1993	Kovac et al.
____18	5,282,808	2/1/1994	Kovac et al.
____19	5,340,360	8/23/1994	Stefanchik
____20	5,431,667	7/11/1995	Thompson et al.
____21	5,547,474	8/20/1996	Kloeckl et al.
____22	5,618,291	4/8/1997	Thompson et al.

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Date Considered:

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Sheet 3 of 4  
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Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3773

Other Documents

Examiner  
Initial\*

Document Author, Title, etc.

\_\_\_\_23

"Hand tool for forming telephone connections – comprises pliers with reciprocally driven ram crimping clip around conductors against anvil",  
Derwent-ACC-No: 1978-B8090A.

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Sheet 4 of 4  
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### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Date Considered:

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